

01/14/02

NA SECTION  
#3



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Stephen W. Jackson

Serial No.: 09/867,126

Filed: May 29, 2001

For: METHOD OF FINANCING  
PAYMENTS TO PROVIDERS  
OF MEDICAL SERVICES

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Group Art Unit: 2166

Examiner: n/a

Atty. Docket: 26540:6

**RESPONSE TO MISSING PARTS OF NONPROVISIONAL APPLICATION**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts mailed July 27, 2001, enclosed are the following documents for filing in the referenced case:

1. Fee Transmittal (in duplicate);
2. Four Month Extension of Time Request;
3. Copy of Notice to File Missing Parts of Nonprovisional Application;
4. Declaration;
5. Power of Attorney;
6. Assignment (with Addendum) and Recordation Form Cover Sheet;
7. Three (3) checks: surcharge (\$65), partial extension fee (\$460), and recordation fee (\$40);
8. Return postcard.

The Commissioner is authorized to charge any fee deficiencies or credit any overpayment to Haynes and Boone, LLP's Deposit Account No. 08-1394. In particular, please charge the extension fee deficiency of \$260 to Deposit Account No. 08-1394, (Order No. 26540.6).

Respectfully submitted,

Date: 1-11-02



Rita M. Irani  
Registration No. 31,028

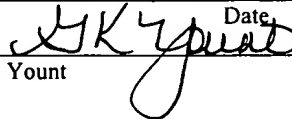
HAYNES AND BOONE, LLP  
1000 Louisiana Street, Suite 4300  
Houston, TX 77002  
Telephone: 713-547-2416  
Facsimile: 713-236-5596  
Email: [iranir@haynesboone.com](mailto:iranir@haynesboone.com)

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8**

This is to certify that this correspondence is being deposited with the U.S. Postal Service as Express Mail No. EL 747834616US under 37 C.F.R. §1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on:

1/11/02

Date



G.K. Yount



PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
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# FREE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 825.00

## Complete if Known

Application Number	09/867,126
Filing Date	05/29/2001
First Named Inventor	Stephen W. Jackson
Examiner Name	Unassigned
Group Art Unit	Unassigned
Attorney Docket No.	26540.6

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 08-1394  
Deposit Account Name: Haynes and Boone, LLP

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

Total Claims:  - 20\*\* =  X  =   
Independent Claims:  - 3\*\* =  X  =   
Multiple Dependent:  =

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	720.00
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 565.00

## SUBMITTED BY

Name (Print/Type)	Rita M. Irani	Registration No. (Attorney/Agent)	31,028	Telephone	713-547-2416
Signature		Date	1/11/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/867,126	05/29/2001	Stephen W. Jackson	26540.6

CONFIRMATION NO. 6475

## FORMALITIES LETTER



\*OC000000006352164\*

Todd Mattingly  
Haynes and Boone, LLP  
Suite 4300  
1000 Louisiana Street  
Houston, TX 77002-5012

Date Mailed: 07/27/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/22/2002 MBERNE 00000025 09867126

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65.00 OP